# NOTICE OF SPECIFIED PENALTY

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| Date of Issue: October 08, 2026 | | Payment Due Date: November 09, 2026 | |
| MSA File Number | RS2026-209 | **Specified Penalty Amount[1]** | **$2,500.00** |
| Market Participant Name: | AltaLink Ltd. | | |
| Reliability Standard | PRC-005-AB2-6 | Self-Report | Yes: ☒ No: ☐ |
| Requirement | R3 | Accepted Mitigation Plan | Yes: ☒ No: ☐ |
| Date of Referral / Self Report | September 18, 2026 | Date of Contravention: | October 01, 2025 - April 21, 2026 |

## MSA FINDINGS

PRC-005-AB2-6 Requirement R3 states:

> Each legal owner of a transmission facility, legal owner of a generating unit, and legal owner of an aggregated generating facility that uses time-based maintenance program(s) must
> maintain its protection system, automatic reclosing, and sudden pressure relaying components that are included within the time-based maintenance program in accordance with the minimum
> maintenance activities and maximum maintenance intervals prescribed within Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-3, and Table 5 of Appendix 1.

Table 5-1 of Appendix 1:

> Trip coils or actuators of circuit breakers, interrupting devices, or mitigating devices (regardless of any monitoring of the control circuitry). Maximum Maintenance Interval – 6 calendar years

The MSA is satisfied AltaLink Ltd. contravened PRC-005-AB2-6 Requirement R3, from October 1, 2025, to April 4, 2026, for three trips coils that were not tested within the established maintenance interval of 6 years.

The MSA assessed the severity of this contravention as Lower.

The MSA accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as the actions correct the contravention and are intended to prevent recurrence of the root cause.

## DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to enforcement@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, enforcement@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to enforcement@albertamsa.ca.

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance unless it qualifies for the public reporting exception for critical infrastructure protection (CIP) contraventions in s. 5.3 of AUC Rule 027. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at enforcement@albertamsa.ca.

**APPROVED BY**

Johanne Poirier Mouallem, Advisor, Alberta Reliability Standards on October 07, 2026

[1]Specified penalty amount conditional on the completion of the accepted Mitigation Plan.