NOTICE OF SPECIFIED PENALTY

Date of Issue: September 28, 2026		Payment Due Date: October 28, 2026	
MSA File Number	RS2026-156	**Specified Penalty Amount[1]**	**$1,875.00**
Market Participant Name:	Travers 2 Solar LP		
Reliability Standard	FAC-008-AB-3	Self-Report	Yes: ☐ No: ☒
Requirement	R1	Accepted Mitigation Plan	Yes: ☒ No: ☐
Date of Referral / Self Report	July 16, 2026	Date of Contravention:	September 01, 2022 - August 31, 2025

MSA FINDINGS

FAC-008-AB-3 R1 states

> Each legal owner of a generating unit and legal owner of an aggregated generating facility must have documentation for determining the facility ratings of its facilities:
>
> (a) up to the low side terminals of the step-up transformer if the legal owner of the generating unit or legal owner of the aggregated generating facility does not own the step-up transformer; or
> (b) including the step-up transformer and associated terminal equipment (as applicable based on ownership) if the legal owner of the generating unit or legal owner of the aggregated generating facility owns the step-up transformer.

Based on the information provided by the Alberta Electric System Operator, the Market Surveillance Administrator is satisfied that Travers 2 Solar LP (Travers) contravened Requirement R1 of FAC-008-AB-3 during the period of September 1, 2022, to August 31, 2025 as Travers did not have documentation for determining the facility ratings of its facilities.

The Market Surveillance Administrator assessed the severity of this contravention as Severe due to the duration of the contravention.

The Market Surveillance Administrator accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as the actions correct the contravention and are intended to prevent recurrence of the root cause.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to enforcement@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, enforcement@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to enforcement@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance unless it qualifies for the public reporting exception for critical infrastructure protection (CIP) contraventions in s. 5.3 of AUC Rule 027. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at enforcement@albertamsa.ca.

APPROVED BY

Daniela Cismaru, Advisor, Alberta Reliability Standards on September 28, 2026

[1]Specified penalty amount conditional on the completion of the accepted Mitigation Plan.