NOTICE OF SPECIFIED PENALTY

Date of Issue: October 14, 2026		Payment Due Date: November 16, 2026	
MSA File Number	RS2026-127	**Specified Penalty Amount**[1]	**$1,500**
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-001-AB-3	Self-Report	☒ YES ☐ NO
Requirement	R9	Accepted Mitigation Plan	☒ YES ☐ NO
Date of Referral/Self Report	June 17, 2026	Date of Contravention	November 1, 2025 to November 30, 2025

MSA FINDINGS

COM-001-AB-3 states, in part:

> Each Responsible Entity shall test its backup voice communication capability, as specified in Appendix 1, Appendix 2, and Appendix 3, at least once each month. If the test is unsuccessful, the Responsible Entity shall initiate action to repair or designate a temporary replacement backup voice communication capability within 2 hours of the unsuccessful test.

Based on the information provided by City of Medicine Hat (CMH), the Market Surveillance Administrator is satisfied that CMH contravened Requirement R9 of COM-001-AB-3 in November 2025 as its backup voice communication capability, as specified in Appendix 1, was not tested at least once each month. The MSA assessed this contravention as Lower severity.

The MSA accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as the actions correct the contravention and are intended to prevent recurrence.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. MSA File #. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Daniela Cismaru, Advisor, Alberta Reliability Standards on October 13, 2026

[1] Specified penalty amount conditional on the completion of the accepted Mitigation Plan.

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 14, 2026		Payment Due Date: November 16, 2026	
MSA File Number	RS2026-127	**Specified Penalty Amount**[1]	**$1,500**
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-001-AB-3	Self-Report	☒ YES ☐ NO
Requirement	R9	Accepted Mitigation Plan	☒ YES ☐ NO
Date of Referral/Self Report	June 17, 2026	Date of Contravention	December 1, 2025 to December 31, 2025

MSA FINDINGS

COM-001-AB-3 states, in part:

> Each Responsible Entity shall test its backup voice communication capability, as specified in Appendix 1, Appendix 2, and Appendix 3, at least once each month. If the test is unsuccessful, the Responsible Entity shall initiate action to repair or designate a temporary replacement backup voice communication capability within 2 hours of the unsuccessful test.

Based on the information provided by City of Medicine Hat (CMH), the Market Surveillance Administrator is satisfied that CMH contravened Requirement R9 of COM-001-AB-3 in December 2025 as its backup voice communication capability, as specified in Appendix 1, was not tested at least once each month. The MSA assessed this contravention as Lower severity.

The MSA accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as the actions correct the contravention and are intended to prevent recurrence.

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Daniela Cismaru, Advisor, Alberta Reliability Standards on October 13, 2026

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NOTICE OF SPECIFIED PENALTY

Date of Issue: October 14, 2026		Payment Due Date: November 16, 2026	
MSA File Number	RS2026-127	**Specified Penalty Amount**[1]	**$1,500**
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-001-AB-3	Self-Report	☒ YES ☐ NO
Requirement	R9	Accepted Mitigation Plan	☒ YES ☐ NO
Date of Referral/Self Report	June 17, 2026	Date of Contravention	January 1, 2026 to January 31, 2026

MSA FINDINGS

COM-001-AB-3 states, in part:

> Each Responsible Entity shall test its backup voice communication capability, as specified in Appendix 1, Appendix 2, and Appendix 3, at least once each month. If the test is unsuccessful, the Responsible Entity shall initiate action to repair or designate a temporary replacement backup voice communication capability within 2 hours of the unsuccessful test.

Based on the information provided by City of Medicine Hat (CMH), the Market Surveillance Administrator is satisfied that CMH contravened Requirement R9 of COM-001-AB-3 in January 2026 as its backup voice communication capability, as specified in Appendix 1, was not tested at least once each month. The MSA assessed this contravention as Lower severity.

The MSA accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as the actions correct the contravention and are intended to prevent recurrence.

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Daniela Cismaru, Advisor, Alberta Reliability Standards on October 13, 2026

[1] Specified penalty amount conditional on the completion of the accepted Mitigation Plan.

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 14, 2026		Payment Due Date: November 16, 2026	
MSA File Number	RS2026-127	**Specified Penalty Amount**[1]	**$1,500**
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-001-AB-3	Self-Report	☒ YES ☐ NO
Requirement	R9	Accepted Mitigation Plan	☒ YES ☐ NO
Date of Referral/Self Report	June 17, 2026	Date of Contravention	February 1, 2026 to February 28, 2026

MSA FINDINGS

COM-001-AB-3 states, in part:

> Each Responsible Entity shall test its backup voice communication capability, as specified in Appendix 1, Appendix 2, and Appendix 3, at least once each month. If the test is unsuccessful, the Responsible Entity shall initiate action to repair or designate a temporary replacement backup voice communication capability within 2 hours of the unsuccessful test.

Based on the information provided by City of Medicine Hat (CMH), the Market Surveillance Administrator is satisfied that CMH contravened Requirement R9 of COM-001-AB-3 in February 2026 as its backup voice communication capability, as specified in Appendix 1, was not tested at least once each month. The MSA assessed this contravention as Lower severity.

The MSA accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as the actions correct the contravention and are intended to prevent recurrence.

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Daniela Cismaru, Advisor, Alberta Reliability Standards on October 13, 2026

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Date of Issue: October 14, 2026		Payment Due Date: November 16, 2026	
MSA File Number	RS2026-127	**Specified Penalty Amount**[1]	**$1,500**
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-001-AB-3	Self-Report	☒ YES ☐ NO
Requirement	R9	Accepted Mitigation Plan	☒ YES ☐ NO
Date of Referral/Self Report	June 17, 2026	Date of Contravention	March 1, 2026 to March 31, 2026

MSA FINDINGS

COM-001-AB-3 states, in part:

> Each Responsible Entity shall test its backup voice communication capability, as specified in Appendix 1, Appendix 2, and Appendix 3, at least once each month. If the test is unsuccessful, the Responsible Entity shall initiate action to repair or designate a temporary replacement backup voice communication capability within 2 hours of the unsuccessful test.

Based on the information provided by City of Medicine Hat (CMH), the Market Surveillance Administrator is satisfied that CMH contravened Requirement R9 of COM-001-AB-3 in March 2026 as its backup voice communication capability, as specified in Appendix 1, was not tested at least once each month. The MSA assessed this contravention as Lower severity.

The MSA accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as the actions correct the contravention and are intended to prevent recurrence.

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Daniela Cismaru, Advisor, Alberta Reliability Standards on October 13, 2026

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MSA File Number	RS2026-127	**Specified Penalty Amount**[1]	**$1,500**
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-001-AB-3	Self-Report	☒ YES ☐ NO
Requirement	R9	Accepted Mitigation Plan	☒ YES ☐ NO
Date of Referral/Self Report	June 17, 2026	Date of Contravention	April 1, 2026 to April 30, 2026

MSA FINDINGS

COM-001-AB-3 states, in part:

> Each Responsible Entity shall test its backup voice communication capability, as specified in Appendix 1, Appendix 2, and Appendix 3, at least once each month. If the test is unsuccessful, the Responsible Entity shall initiate action to repair or designate a temporary replacement backup voice communication capability within 2 hours of the unsuccessful test.

Based on the information provided by City of Medicine Hat (CMH), the Market Surveillance Administrator is satisfied that CMH contravened Requirement R9 of COM-001-AB-3 in April 2026 as its backup voice communication capability, as specified in Appendix 1, was not tested at least once each month. The MSA assessed this contravention as Lower severity.

The MSA accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as the actions correct the contravention and are intended to prevent recurrence.

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MSA File Number	RS2026-127	**Specified Penalty Amount**[1]	**$1,500**
Market Participant Name	City of Medicine Hat		
Reliability Standard	COM-001-AB-3	Self-Report	☒ YES ☐ NO
Requirement	R9	Accepted Mitigation Plan	☒ YES ☐ NO
Date of Referral/Self Report	June 17, 2026	Date of Contravention	May 1, 2026 to May 31, 2026

MSA FINDINGS

COM-001-AB-3 states, in part:

> Each Responsible Entity shall test its backup voice communication capability, as specified in Appendix 1, Appendix 2, and Appendix 3, at least once each month. If the test is unsuccessful, the Responsible Entity shall initiate action to repair or designate a temporary replacement backup voice communication capability within 2 hours of the unsuccessful test.

Based on the information provided by City of Medicine Hat (CMH), the Market Surveillance Administrator is satisfied that CMH contravened Requirement R9 of COM-001-AB-3 in May 2026 as its backup voice communication capability, as specified in Appendix 1, was not tested at least once each month. The MSA assessed this contravention as Lower severity.

The MSA accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as the actions correct the contravention and are intended to prevent recurrence.

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