# NOTICE OF SPECIFIED PENALTY

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| Date of Issue: October 14, 2026 | | Payment Due Date: November 16, 2026 | |
| MSA File Number | RS2026-125 | **Specified Penalty Amount[1]** | **$2,500.00** |
| Market Participant Name: | Saddlebrook Solar & Storage Limited Partnership | | |
| Reliability Standard | COM-001-AB-3 | Self-Report | Yes: ☒ No: ☐ |
| Requirement | R9 | Accepted Mitigation Plan | Yes: ☒ No: ☐ |
| Date of Referral / Self Report | June 16, 2026 | Date of Contravention: | January 01, 2025 - August 31, 2025 |

## MSA FINDINGS

Requirement R9 of COM-001-AB-3 states

> R9 Each Responsible Entity shall test its backup voice communication capability, as specified in Appendix 1, Appendix 2, and Appendix 3, at least once each month. If the test is unsuccessful, the Responsible Entity shall initiate action to repair or designate a temporary replacement backup voice communication capability within 2 hours of the unsuccessful test.

Based on the information provided by the AESO, the Market Surveillance Administrator is satisfied that Saddlebrook Solar & Storage Limited Partnership (SDLP) contravened the COM-001-AB-3 Requirement R9 for the Saddlebrook solar facility (SDL1) on five occasions in 2025 (January, February, April, May, June and August 2025), as they did not perform the required monthly testing of their backup voice communication capability (satellite phone). The total contravention period started on January 1, 2025, and ended on August 31, 2025.

The MSA assessed this contravention as Moderate severity based on the number of instances in which the required monthly testing was not performed.

The MSA accepted the mitigation plan as the actions correct the contravention and are intended to prevent recurrence of the root cause.

## DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to enforcement@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, enforcement@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to enforcement@albertamsa.ca.

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance unless it qualifies for the public reporting exception for critical infrastructure protection (CIP) contraventions in s. 5.3 of AUC Rule 027. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at enforcement@albertamsa.ca.

**APPROVED BY**

Johanne Poirier Mouallem, Advisor, Alberta Reliability Standards on October 14, 2026

[1]Specified penalty amount conditional on the completion of the accepted Mitigation Plan.