

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 26, 2026		Payment Due Date: July 27, 2026	
MSA File Number	RS2026-073 RS2026-074	Specified Penalty Amount¹	\$ 5,250
Market Participant Name	Syncrude Canada Ltd.		
Reliability Standard	COM-001-AB-3	Self-Report	☐ YES ☒ NO
Requirement	R9	Accepted Mitigation Plan	☒ YES ☐ NO
Date of Referral/Self Report	April 24, 2026	Date of Contravention	April 1, 2024

MSA FINDINGS

Requirement 9 of COM-001-AB-3 states:

Each Responsible Entity shall test its backup voice communication capability, as specified in Appendix 1, Appendix 2, and Appendix 3, at least once each month. If the test is unsuccessful, the Responsible Entity shall initiate action to repair or designate a temporary replacement backup voice communication capability within 2 hours of the unsuccessful test.

Based on the information provided by the AESO, the MSA is satisfied that Syncrude Canada Ltd. contravened Requirement R9 of COM-001-AB-3 during the period of April 1, 2024, to August 31, 2025, as the backup voice communication capability as specified in Appendix 1 and Appendix 2 was not tested at least once each month at the Mildred Lake control room.

Based on the information provided by the AESO, the MSA is satisfied that Syncrude Canada Ltd. contravened Requirement R9 of COM-001-AB-3 during the period of April 1, 2024, to August 31, 2025, as the backup voice communication capability as specified in Appendix 1 and Appendix 2 was not tested at least once each month at the Aurora control room.

The MSA assessed these contraventions as High severity due to duration.

The MSA accepted the mitigation plan, in accordance with section 4.9(c) of AUC Rule 027, as it addresses the contravention and includes measures designed to prevent recurrence.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Daniela Cismaru - Advisor, Alberta Reliability Standards, on June 25, 2026

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.