

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 17, 2026		Payment Due Date: September 17, 2026	
MSA File Number	RS2026-025	Specified Penalty Amount	\$25,000.00
Market Participant Name:	FortisAlberta Inc.		
Reliability Standard	PRC-006-AB-3	Self-Report	Yes: ☐ No: ☒
Requirement	R9	Accepted Mitigation Plan	Yes: ☐ No: ☒
Date of Referral / Self Report	January 26, 2026	Date of Contravention:	July 01, 2023 - July 09, 2024

MSA FINDINGS

Requirement 9 of PRC-006-AB-3 states

R9 Each legal owner of a transmission facility, legal owner of an electric distribution system and market participant receiving service under Rate DTS of the ISO tariff must provide, as the ISO determines, automatic tripping of load in accordance with the underfrequency load shedding program design, and schedule for implementation, including any corrective action plan.

Based on the information provided by the AESO, the Market Surveillance Administrator is satisfied that Fortis Alberta Inc. contravened Requirement R9 of PRC-006-AB-3 by failing to meet the implementation schedule for modifications to the Under Frequency Load Shedding program in two instances at Substation 404S Waupasoo and in one instance at Substation 397S Benbow.

No mitigation plan was provided with respect to this contravention.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca (<mailto:AU.FinOps@gov.ab.ca>), compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) and enforcement@auc.ab.ca (<mailto:enforcement@auc.ab.ca>) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance unless it qualifies for the public reporting exception for critical infrastructure protection (CIP) contraventions in s. 5.3 of AUC Rule 027. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

APPROVED BY
Daniela Cismaru, Advisor, Alberta Reliability Standards on August 07, 2026