

## NOTICE OF SPECIFIED PENALTY

|                                |                                    |                                   |                                                                       |
|--------------------------------|------------------------------------|-----------------------------------|-----------------------------------------------------------------------|
| Date of Issue: July 14, 2026   |                                    | Payment Due Date: August 14, 2026 |                                                                       |
| MSA File Number                | RS2026-010                         | <b>Specified Penalty Amount</b>   | <b>\$3,000.00</b>                                                     |
| Market Participant Name:       | Fengate Central Utilities Block GP |                                   |                                                                       |
| Reliability Standard           | PRC-005-AB2-6                      | Self-Report                       | Yes: <input type="checkbox"/> No: <input checked="" type="checkbox"/> |
| Requirement                    | R1                                 | Accepted Mitigation Plan          | Yes: <input type="checkbox"/> No: <input checked="" type="checkbox"/> |
| Date of Referral / Self Report | January 19, 2026                   | Date of Contravention:            | May 01, 2022 - April 30, 2025                                         |

### MSA FINDINGS

PRC-005-AB2-6 R1 States:

R1 Each legal owner of a transmission facility, legal owner of a generating unit, and legal owner of an aggregated generating facility must establish a protection system maintenance program for its protection systems, automatic reclosing, and sudden pressure relaying.

The protection system maintenance program must:

R1.1 identify which maintenance method (a time-based method, the performance-based method per Appendix 2, or a combination of these maintenance methods) is used to address each protection system, automatic reclosing, and sudden pressure relaying component type (as identified in Appendix 1). All batteries associated with the station dc supply component type of a protection system must be included in a time-based program as described in Table 1-4 and Table 3 of Appendix 1.

R1.2 include the applicable monitored component attributes applied to each protection system, automatic reclosing, and sudden pressure relaying component type consistent with the maintenance intervals specified in Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-3, and Table 5 of Appendix 1, where monitoring is used to extend the maintenance intervals beyond those specified for unmonitored protection system, automatic reclosing, and sudden pressure relaying components,

As per the Alberta Electric System Operation Suspected Contravention identified in the Fengate Central Utilities G.P. (FCUB) audit report dated January 19, 2026:

The component attributes "trip coils of circuit breakers" and "unmonitored control circuitry associated with protective functions" specified in Table 1-5 of the PRC-005-AB2-6 standard are not included in FCUB's current protection system maintenance program (PSMP). As per R1.1, the PSMP must identify the maintenance method used for each protection system component.

The MSA is satisfied that a contravention of Requirement R1 of PRC-005-AB2-6 occurred between May 1, 2022, to April 30, 2025, as a result of FCUB not including all required components in their PSMP.

The MSA assessed this contravention as Lower severity based on the presented facts and in consideration of the impact, the risk or the scope of the contravention on the safe, reliable and economic operation of the interconnected electric system.

FCUB did not provide a mitigation plan.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) (<mailto:compliance@albertamsa.ca>) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca) (<mailto:AU.FinOps@gov.ab.ca>), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) (<mailto:compliance@albertamsa.ca>), and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) (<mailto:enforcement@auc.ab.ca>) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) (<mailto:compliance@albertamsa.ca>).

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance unless it qualifies for the public reporting exception for critical infrastructure protection (CIP) contraventions in s. 5.3 of AUC Rule 027. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) (<mailto:compliance@albertamsa.ca>).

## APPROVED BY

Johanne Poirier Mouallem, Advisor, Alberta Reliability Standards on July 13, 2026