

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 05, 2026		Payment Due Date: September 07, 2026	
MSA File Number	RS2026-009	Specified Penalty Amount	\$500.00
Market Participant Name:	Fengate Central Utilities Block GP		
Reliability Standard	FAC-008-AB-3	Self-Report	Yes: ☐ No: ☒
Requirement	R1	Accepted Mitigation Plan	Yes: ☐ No: ☒
Date of Referral / Self Report	January 19, 2026	Date of Contravention:	May 01, 2022 - April 30, 2025

MSA FINDINGS

FAC-008-AB-3 R1 states :

R1 Each legal owner of a generating unit and legal owner of an aggregated generating facility must have documentation for determining the facility ratings of its facilities:

- (a) up to the low side terminals of the step-up transformer if the legal owner of the generating unit or legal owner of the aggregated generating facility does not own the step-up transformer; or
- (b) including the step-up transformer and associated terminal equipment (as applicable based on ownership) if the legal owner of the generating unit or legal owner of the aggregated generating facility owns the step-up transformer.

The MSA is satisfied that Fengate Central Utilities G.P. (FCUB) contravened FAC-008-AB-3 R1 From May 1, 2022, to April 30, 2025, as FCUB did not have the documentation for determining the facility ratings associated with two cross-tie breakers (11-CB-62102 and 11-CB-62202).

The MSA assessed this contravention as Lower severity based on the presented facts and in consideration of the impact, the risk or the scope of the contravention on the safe, reliable and economic operation of the interconnected electric system.

FCUB did not provide a mitigation plan.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca (<mailto:AU.FinOps@gov.ab.ca>), compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) and enforcement@auc.ab.ca (<mailto:enforcement@auc.ab.ca>) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance unless it qualifies for the public reporting exception for critical infrastructure protection (CIP) contraventions in s. 5.3 of AUC Rule 027. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

APPROVED BY

Johanne Poirier Mouallem, Advisor, Alberta Reliability Standards on August 05, 2026