

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 17, 2025		Payment Due Date: November 17, 2025	
MSA File Number	RS2025-108	Specified Penalty Amount	\$ 1,500
Market Participant Name	CNOOC Petroleum North America ULC		
Reliability Standard	PER-006-AB-1	Self-Report	☒ YES ☐ NO
Requirement	R1	Accepted Mitigation Plan	☒ YES ☐ NO
Date of Self Report	July 4, 2025	Date of Contravention	July 1, 2023

MSA FINDINGS

Requirement 1 of PER-006-AB-1 states:

R1 Each operator of a generating unit or operator of an aggregated generating facility must provide training to personnel identified in the Applicability section on the operational functionality of protection systems and remedial action schemes that affect the output of a generating unit or an aggregated generating facility it operates.

The MSA is satisfied that CNOOC Petroleum North America ULC contravened requirement R1 of PER-006-AB-1 from July 1, 2023, to July 29, 2025, as one control room operator has not completed the training on the operational functionality of protection systems and remedial action schemes that affect the output of the generating unit it operates.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Daniela Cismaru - Advisor, Reliability Standards, on October 15, 2025