

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 17, 2026		Payment Due Date: July 17, 2026	
MSA File Number	RS2025-107	Specified Penalty Amount¹	\$3,500.00
Market Participant Name:	Suncor Energy Inc.		
Reliability Standard	FAC-008-AB-3	Self-Report	Yes: ☒ No: ☐
Requirement	R6	Accepted Mitigation Plan	Yes: ☒ No: ☐
Date of Referral / Self Report	July 03, 2025	Date of Contravention:	January 01, 2020 - June 11, 2025

MSA FINDINGS

Requirement R6 states of FAC-008-AB-3 states:

Each legal owner of a transmission facility, legal owner of a generating unit and legal owner of an aggregated generating facility must have facility ratings for its facilities that are consistent with: (a) the facility ratings methodology in accordance with requirements R2 or R3, for a transmission facility, a generating unit and an aggregated generating facility; and (b) the documentation in accordance with requirement R1, for a generating unit and an aggregated generating facility.

Based on the information self-reported, the MSA is satisfied that Suncor Energy Inc. contravened Requirements R6 of FAC-008-AB-3 during the period of January 1, 2020, to June 11, 2025, as the facility ratings for 29PL9-1, 29PL9-2, 29PL9-3, 29PL9-4, 29PL6-3 and 29PL6-4 along with their associated switches 29EDD1SPL9-1, 29EDD1SPL9-2, 9EDD1SPL9-3, 29PS-16, 29EDD1SPL9-4, 29PS-15, 29EDD1SPL6-3 and 29EDD1SPL6-4 were not consistent with the facility ratings methodology in accordance with requirements R2 or R3.

The MSA determined this contravention was High severity due to the duration of the contravention.

The MSA accepted the mitigation plan as the requirements set out in AUC Rule 027 were met.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca (<mailto:AU.FinOps@gov.ab.ca>), compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) and enforcement@auc.ab.ca (<mailto:enforcement@auc.ab.ca>) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance unless it qualifies for the public reporting exception for critical infrastructure protection (CIP) contraventions in s. 5.3 of AUC Rule 027. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

APPROVED BY

Daniela Cismaru, Advisor, Alberta Reliability Standards on June 17, 2026

¹Specified penalty amount conditional on the completion of the accepted Mitigation Plan.