

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 6, 2025		Payment Due Date: September 8, 2025	
MSA File Number	RS2025-096	Specified Penalty Amount	\$ 18,750
Market Participant Name	TA Alberta Hydro LP		
Reliability Standard	PRC-004-AB2-1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R1	Accepted Mitigation Plan	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Date of Self Report	June 17, 2025	Date of Contravention	July 21, 2023

MSA FINDINGS

Requirement R1 of PRC-004-AB2-1 states:

Each legal owner of a transmission facility, legal owner of a generating unit and legal owner of an aggregated generating facility must analyze misoperations of its protection systems affecting the reliability of the bulk electric system, and develop and implement a corrective action plan to avoid future misoperations of a similar nature.

TA Alberta Hydro LP contravened R1 of PRC-004-AB2-1 as the misoperations of its protection system that occurred at Ghost generating facility on July 21, 2023 were not analyzed, and a correction action plan to avoid future misoperations of a similar nature was not developed and implemented.

The MSA has rejected the Mitigation Plan as no mitigation plan was develop to include a detailed action plan to prevent the occurrence of the contravention of a reliability standard or to correct the contravention of a reliability standard and prevent re-occurrence of the contravention of a reliability standard.

The MSA is satisfied that the conduct was a contravention of Reliability Standard PRC-004-AB2-1, requirement R1. The MSA determined the contravention was Severe based on the fact that the misoperations have not been analyzed.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Daniela Cismaru - Advisor, Reliability Standards, on July 28, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 6, 2025		Payment Due Date: September 8, 2025	
MSA File Number	RS2025-096	Specified Penalty Amount	\$ 18,750
Market Participant Name	TA Alberta Hydro LP		
Reliability Standard	PRC-004-AB2-1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R1	Accepted Mitigation Plan	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Date of Self Report	June 17, 2025	Date of Contravention	May 16, 2024

MSA FINDINGS

Requirement R1 of PRC-004-AB2-1 states:

Each legal owner of a transmission facility, legal owner of a generating unit and legal owner of an aggregated generating facility must analyze misoperations of its protection systems affecting the reliability of the bulk electric system, and develop and implement a corrective action plan to avoid future misoperations of a similar nature.

TA Alberta Hydro LP contravened R1 of PRC-004-AB2-1 as the misoperations of its protection system that occurred at Ghost generating facility on May 16, 2024 were not analyzed, and a correction action plan to avoid future misoperations of a similar nature was not developed and implemented.

The MSA has rejected the Mitigation Plan as no mitigation plan was develop to include a detailed action plan to prevent the occurrence of the contravention of a reliability standard or to correct the contravention of a reliability standard and prevent re-occurrence of the contravention of a reliability standard.

The MSA is satisfied that the conduct was a contravention of Reliability Standard PRC-004-AB2-1, requirement R1. The MSA determined the contravention was Severe based on the fact that the misoperations have not been analyzed.

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Daniela Cismaru - Advisor, Reliability Standards, on July 28, 2025