

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 28, 2025		Payment Due Date: August 28, 2025	
MSA File Number	RS2025-061	Specified Penalty Amount	\$ 2,500
Market Participant Name	ATCO Electric Ltd.		
Reliability Standard	EOP-005-AB-3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R3	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self Report	April 29, 2025	Date of Contravention	October 18, 2024

MSA FINDINGS

Requirement R3 of EOP-005-AB-3 states, in part:

Each operator of a transmission facility must within sixty (60) days, or another time period agreed to by the ISO, after receiving a copy of the ISO's restoration plan updated in accordance with requirement R3 of EOP-006-AB-3 or any subsequent version of that reliability standard:

- (a) review its restoration plan;
- (b) align its restoration plan, as necessary, with the ISO's restoration plan; and
- (c) submit its plan to the ISO for approval.

ATCO Electric Ltd. contravened R3 of EOP-005-AB-3 from October 18, 2024, to December 13, 2024, as its restoration plan was not updated and submitted for approval within 60 days.

The MSA is satisfied that the conduct was a contravention of Reliability Standard EOP-005-AB-3, requirement R3. The MSA determined the severity level as Moderate in consideration of the duration of the contravention.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Daniela Cismaru - Advisor, Reliability Standards, July 25, 2025