

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	RS2025-031	Specified Penalty Amount¹	\$1,500
Market Participant Name	Garden Plain I LP		
Reliability Standard	PER-006-AB-1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R1	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self Report	March 6, 2025	Date of Contravention	July 1, 2023

MSA FINDINGS

Requirement 1 of PER-006-AB-1 states:

Each operator of a generating unit or operator of an aggregated generating facility must provide training to personnel identified in the Applicability section on the operational functionality of protection systems and remedial action schemes that affect the output of a generating unit or an aggregated generating facility it operates.

From July 1, 2023, to May 30, 2025, Garden Plain I LP's operational functionality of the remedial action scheme RAS 134 that affects the output of its aggregated generating facility was not included within the training provided to its operating personnel.

As a result, the MSA is satisfied that Garden Plain I LP contravened requirement R1 of PER-006-AB-1 from July 1, 2023, to May 31, 2025.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator and CEO, on June 2, 2025

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.