

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 24, 2026		Payment Due Date: May 25, 2026	
MSA File Number	RS2025-026	Specified Penalty Amount	\$ 5,250
Market Participant Name	Suncor Energy Inc.		
Reliability Standard	FAC-008-AB-3	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R6	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	March 4, 2025	Date of Contravention	April 1, 2021 to March 31, 2024

MSA FINDINGS

Requirement 6 of FAC-008-AB-3 states:

R6 Each legal owner of a transmission facility, legal owner of a generating unit and legal owner of an aggregated generating facility must have facility ratings for its facilities that are consistent with:

- (a) the facility ratings methodology in accordance with requirements R2 or R3, for a transmission facility, a generating unit and an aggregated generating facility; and
- (b) the documentation in accordance with requirement R1, for a generating unit and an aggregated generating facility.

The MSA is satisfied that Suncor Energy Inc. contravened Requirement 6 of FAC-008-AB-3 from April 1, 2021, to March 31, 2024, as there was no evidence demonstrating that the facility ratings for 29PL9-1, 29PL6-6, T2, 29PL6-3, and 29PL9-3 are consistent with its facility ratings methodology.

The MSA determined a High severity level in consideration of the duration of the contravention.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Daniela Cismaru - Advisor, Alberta Reliability Standards on April 24, 2026