

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 18, 2025		Payment Due Date: August 18, 2025	
MSA File Number	RS2024-270	<b>Specified Penalty Amount<sup>1</sup></b>	<b>\$12,500</b>
Market Participant Name	TransAlta Cogeneration LP		
Reliability Standard	PRC-005-AB2-6	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R3	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self Report	November 25, 2024	Date of Contravention	October 13, 2020

### MSA FINDINGS

Requirement 3 of PRC-005-AB2-6 states:

Each legal owner of a transmission facility, legal owner of a generating unit, and legal owner of an aggregated generating facility that uses time-based maintenance program(s) must maintain its protection system, automatic reclosing, and sudden pressure relaying components that are included within the time-based maintenance program in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-3, and Table 5 of Appendix 1.

TransAlta Cogeneration LP contravened R3 of PRC-005-AB2-6 from October 13, 2020, to July 18, 2025, as no evidence exists to demonstrate that all maintenance activities for the Vented Lead-Acid (VLA) batteries were performed in accordance with Table 1-4(a).

The MSA is satisfied that the conduct was a contravention of Reliability Standard PRC-005-AB2-6, Requirement 3. The MSA determined the contravention was severe given that maintenance activities were missed for all component types.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Daniela Cismaru - Advisor, Reliability Standards, July 17, 2025.

<sup>1</sup> Specified penalty amount conditional on the completion of the accepted Mitigation Plan.