

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 29, 2025		Payment Due Date: August 29, 2025	
MSA File Number	RS2024-258	<b>Specified Penalty Amount</b>	<b>\$ 7,500</b>
Market Participant Name	TA Alberta Hydro LP		
Reliability Standard	EOP-005-AB-3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R13	Accepted Mitigation Plan	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Date of Self Report	November 8, 2024	Date of Contravention	June 13, 2024

### MSA FINDINGS

Requirement 13 of EOP-005-AB-3 states:

R13 Each operator of a generating unit with a blackstart resource must notify the ISO of any known changes to the capabilities of that blackstart resource affecting the ability of the operator of a generating unit to fulfill the requirements of the ISO's restoration plan within twenty-four (24) hours of becoming aware of such change.

TA Alberta Hydro LP (TAHLP) contravened Requirement R13 of EOP-005-AB-3 from June 13, 2024, to June 18, 2024, as the ISO was not notified within 24h hours of TAHLP becoming aware of the changes to the capabilities of Brazeau back start resource to fulfill the requirements of the ISO's restoration plan.

The MSA is satisfied that the conduct was a contravention of Reliability Standard EOP-005-AB-3, Requirement R13. The MSA determined a Severe severity level based on the duration of the contravention.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Daniela Cismaru - Advisor, Reliability Standards on July 23, 2025