

## NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	RS2024-199	<b>Specified Penalty Amount</b>	<b>\$ 5,000</b>
Market Participant Name	TransCanada Energy Ltd.		
Reliability Standard	PER-006-AB-1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R1	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self Report	September 25, 2024	Date of Contravention	July 1, 2023

### MSA FINDINGS

Requirement 1 of PER-006-AB-1 states:

Each operator of a generating unit or operator of an aggregated generating facility must provide training to personnel identified in the Applicability section on the operational functionality of protection systems and remedial action schemes that affect the output of a generating unit or an aggregated generating facility it operates.

From July 1, 2023, to September 4, 2024, training on the operational functionality of protection systems and remedial action schemes that affect the output of a generating units it operates was not provided to personnel at Bear Creek, Mackay River and Redwater generating facilities.

As a result, the MSA is satisfied that TransCanada Energy Ltd. contravened requirement R1 of PER-006-AB-1 from July 1, 2023, to September 4, 2024.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Derek Olmstead, Administrator and CEO, on June 2, 2025