

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 29, 2024		Payment Due Date: August 29, 2024	
MSA File Number	RS2024-064	Specified Penalty Amount¹	\$ 7,500
Market Participant Name	Suncor Energy Inc.		
Reliability Standard	PER-005-AB-2	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R3	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	March 28, 2024	Date of Contravention	July 29, 2020 ²

MSA FINDINGS

Suncor Energy Inc. did not implement the applicable requirements of PER-005-AB-2. This is an ongoing contravention starting on April 1, 2018, when PER-005-AB-2 came into effect.

PER-005-AB-2 states, in part:

R3 The ISO and each operator of a transmission facility must verify, at least once, the capabilities of its real time operating personnel, identified in requirement R1, assigned to perform each of the bulk electric system company-specific real time reliability-related tasks identified under requirement R1.1.

R3.1 Within six (6) months of a modification or addition of a bulk electric system company specific real time reliability-related task, the ISO and each operator of a transmission facility must verify the capabilities of each of its real time operating personnel identified in requirement R1 to perform the new or modified bulk electric system company-specific real time reliability-related tasks identified in requirement R1.1.

MR3 Evidence of verifying, at least once, the capabilities of the real time operating personnel, as required in requirement R3 exists. Evidence may include, but is not limited to, documented records to show that it verified the capability of each of its real time personnel on company-specific real time reliability-related tasks with the date of verification, employee name, supervisor signature and check sheets for each company-specific real time reliability-related task completed, the results of learning assessments, or other equivalent evidence.

MR3.1 Evidence of verifying the capabilities of real time operating personnel within six (6) months of a modification or addition of a bulk electric system company-specific real time reliability-related task, as required in requirement R3.1 exists. Evidence may include, but is not limited to, documented records to show that it verified the capability of each of its personnel on company-specific real time reliability-related tasks with the date of verification, employee name, supervisor signature and check sheets for each company-specific real time reliability-related task completed, the results of learning assessments, or other equivalent evidence.

The MSA is satisfied that the conduct was a contravention of Reliability Standard PER-005-AB-2, requirement R3.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. MSA File #. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on July 29, 2024

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.

² Alberta Utilities Commission [Rule 027](#), section 3.4(b) four-year period binds.