

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 23, 2024		Payment Due Date: June 24, 2024	
MSA File Number	RS2024-032 RS2024-033	Specified Penalty Amount¹	\$ 7,500
Market Participant Name	Windrise Wind LP		
Reliability Standard	VAR-002-AB-4.1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R1, R3	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral	February 26, 2024	Date of Contravention	December 2, 2021 to June 19, 2023

MSA FINDINGS

The Alberta Electric System Operator (AESO) conducted a scheduled Q3-2023 compliance monitoring audit of Windrise Wind LP (WWL) to verify compliance with the Alberta Reliability standards (ARS) applicable to WWL. The applicable audit period with respect to VAR-002-AB-4.1 extended from July 1, 2020 to June 30, 2023. The MSA finds that WWL contravened VAR-002-AB-4.1 R1 and R3 as follows:

- Windrise Wind LP did not operate the aggregated generating facility with its automatic voltage regulator in service and in automatic voltage control mode while the facility was electrically connected to the transmission system, in accordance with R1.
- Further to this, Windrise Wind LP did not notify the AESO within 30 minutes after a status or control mode change of the automatic voltage regulator, as required by R3.

The R1 and R3 contraventions were for 14 events from December 2, 2021 to June 19, 2023.

VAR-002-AB-4.1 states, in part:

R1. The operator of a generating unit and operator of an aggregated generating facility must, while a generating unit or aggregated generating facility is electrically connected to the transmission system, operate the generating unit or aggregated generating facility with its automatic voltage regulator or voltage regulating system in service and in automatic voltage control mode, or in a different control mode as instructed by the ISO unless:

- (a) the generating unit or aggregated generating facility is exempted by the ISO;
- (b) the operator of a generating unit or operator of an aggregated generating facility has notified the ISO in accordance with requirement R3 that the generating unit or aggregated generating facility is not being operated in automatic voltage control mode or in the control mode that was instructed by the ISO for a reason other than start-up, shutdown, or testing. Such reasons may include a forced or unplanned change in control mode;
- (c) the generating unit or aggregated generating facility is being operated during start-up or shutdown in accordance with the procedure of the operator of a generating unit or operator of an aggregated generating facility; or
- (d) the operator of a generating unit or the operator of an aggregated generating facility has previously obtained approval from the ISO allowing the generating unit or aggregated generating facility to be in a testing mode.

R3 Each operator of a generating unit and operator of an aggregated generating facility must notify the ISO within 30 minutes after a status or control mode change of the automatic voltage regulator, voltage regulating system, alternative voltage controlling device or power system stabilizer, as applicable, on any generating unit or aggregated generating facility.

R3.1 If the status or control mode has been restored within 30 minutes of such change, then the operator of a generating unit or operator of an aggregated generating facility is not required to notify the ISO of the status or control mode change.

R3.2 If a generating unit or an aggregated generating facility is in testing, start-up, shut-down or offline mode, requirement R3 does not apply.

R3.3 If a generating unit or an aggregated generating facility is operating below the safe and stable level for power system stabilizer operation, then the operator of a generating unit or operator of an aggregated generating facility is not required to notify the ISO of a change in status of the power system stabilizer caused by the low output level of the generating unit or aggregated generating facility.

The MSA is satisfied that the conduct was a contravention of Reliability Standard VAR-002-AB-4.1, requirement R1 and R3.

DELIVERY OF PAYMENT

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on May 23, 2024.