

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 14, 2025 (Revised: June 9, 2025)		Payment Due Date: June 14, 2025	
MSA File Number	RS2023-260	Specified Penalty Amount	\$10,000
Market Participant Name	Alberta Electric System Operator (AESO)		
Reliability Standard	BAL-005-AB-1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R4	Accepted Mitigation Plan	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Date of Self Report	Not self Reported	Date of Contraventions	June 29, 2023 to July 5, 2023.

MSA FINDINGS

Alberta Electric System Operator (AESO) contravened requirement R4 of BAL-005-AB-1 from June 29, 2023 to July 5, 2023, as quality flags indicating missing or invalid data were insufficient to indicate to operating personnel that frequency data was no longer available and the reporting area control error data was invalid.

Requirement R4 of BAL-005-AB-1 states:

The ISO must make available to its operating personnel information associated with reporting area control error including quality flags indicating missing or invalid data.

The MSA is satisfied that the conduct was a contravention of Reliability Standard BAL-005-AB-1, requirement R4.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Johanne Poirier Mouallem, Advisor, Alberta Reliability Standards on May 14, 2025 (Revised: June 9, 2025)