

## NOTICE OF SPECIFIED PENALTY

Date of Issue: May 23, 2024		Payment Due Date: June 24, 2024	
MSA File Number	RS2023-129 RS2023-230	<b>Specified Penalty Amount<sup>1</sup></b>	<b>\$ 2,500</b>
Market Participant Name	TA Alberta Hydro LP		
Reliability Standard	PRC-019-AB-2	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R1	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Self-Report	June 30, 2023, and October 12, 2023	Date of Contravention	January 1, 2022, to June 3, 2023

### MSA FINDINGS

TA Alberta Hydro LP did not coordinate the voltage regulating system controls, including in service limiters and protection functions, with the applicable equipment capabilities and settings of the applicable protection system devices and functions for Spray (Unit #2) (from January 1, 2022, to June 3, 2023) and Bighorn G1 (from January 1, 2022, to November 1, 2022).

PRC-019-AB-2 states, in part:

R1. Each legal owner of a transmission facility, legal owner of a generating unit and legal owner of an aggregated generating facility must, by the effective date of this reliability standard and at a maximum of every 5 calendar years, coordinate the voltage regulating system controls, including in-service limiters and protection functions, with the applicable equipment capabilities and settings of the applicable protection system devices and functions, assuming normal automatic voltage regulator control loop and steady-state system operating conditions, by verifying the following coordination items for each applicable facility:

- (a) the in-service limiters are set to operate before the protection system of the applicable facility in order to avoid disconnecting the generating unit or synchronous condenser unnecessarily; and
- (b) the applicable in-service protection system devices are set to operate to isolate or de-energize equipment in order to limit the extent of damage when operating conditions exceed equipment capabilities or stability limits.

The MSA is satisfied that the conduct was a contravention of Reliability Standard PRC-019-AB-2, requirement R1.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on May 23, 2024.

<sup>1</sup> Specified penalty amount conditional on the completion of the accepted Mitigation Plan.