

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 30, 2022		Payment Due Date: May 2, 2022	
MSA File Number	RS2022-022	Specified Penalty Amount¹	\$375
Registered Entity Name	Imperial Oil Resources Limited		
Reliability Standard	PRC-002-AB-2	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R1.1	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	January 24, 2022	Date of Contravention	October 1, 2019 until mitigation plan complete

EVENT DETAILS

Pursuant to the Alberta Electric System Operator (AESO) Alberta Reliability Standards 2021-2023 Audit Schedule, the AESO conducted a Q3/2021 compliance monitoring audit of Imperial Oil Resources Limited (IOR). The applicable audit period with respect to PRC-002-AB-2 extended from October 1, 2019 to June 30, 2021. The MSA finds that PRC-002-AB-2 was contravened as follows:

From October 1, 2019 until the mitigation plan is complete, IOR did not provide any evidence that they identified bulk electric system buses for which sequence of events recording and fault recording data is required by using the methodology in Appendix 1, in contravention of requirement R1.1.

PRC-002-AB-2 states, in part:

R1 Each legal owner of a transmission facility must:

R1.1 identify bulk electric system buses for which sequence of events recording and fault recording data is required by using the methodology in Appendix 1; [...]

MR1 Evidence of identifying bulk electric system buses, notifying legal owners, re-evaluating all bulk electric system buses, and implementing the re-evaluated list as required in requirement R1 exists. Evidence may include lists, dated correspondence, or other equivalent evidence.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standard PRC-002-AB-2, requirement R1.1.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Referral from the AESO to the MSA dated January 24, 2022, including the AESO Alberta Reliability Standards Compliance Monitoring Audit Report regarding the Q3-2021 Compliance Monitoring Audit of Imperial Oil Resources Limited.
2. Additional information provided the AESO to the MSA on February 15, 2022 and February 17, 2022.
3. Mitigation Plan submitted by Imperial Oil Resources Limited to the MSA dated March 2, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

¹ Specified Penalty Amount conditional on the completion of the accepted Mitigation Plan.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	March 30, 2022
Name	Derek Olmstead	Title	Administrator & CEO