

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 24, 2021		Payment Due Date: March 29, 2021	
MSA File Number	RS2020-263	Specified Penalty Amount¹	\$2,250
Registered Entity Name	AltaLink L.P., by its general partner, AltaLink Management Ltd.		
Reliability Standard	FAC-008-AB-3	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R6	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	November 27, 2020	Date of Contravention	January 1, 2020 to July 17, 2020

EVENT DETAILS

Pursuant to the Alberta Electric System Operator (AESO) Alberta Reliability Standards 2019–2021 Audit Schedule, the AESO conducted a scheduled Q2/2020 compliance monitoring audit of AltaLink L.P., by its general partner, AltaLink Management Ltd. (AltaLink). The applicable audit period with respect to FAC-008-AB-3 extended from January 1, 2020 to March 31, 2020. The MSA finds that FAC-008-AB-3 was contravened as follows:

From January 1, 2020 to July 17, 2020, AltaLink was unable to provide evidence to demonstrate that the transformer ratings of 3 transformers were determined without maintaining consistency with the facility ratings methodology in accordance with requirements R2 or R3 for a transmission facility. FAC-008-AB-3 states, in part:

R6 Each **legal owner** of a **transmission facility**, **legal owner** of a **generating unit** and **legal owner** of an **aggregated generating facility** must have **facility ratings** for its facilities that are consistent with:

- (a) the **facility ratings** methodology in accordance with requirements R2 or R3, for a **transmission facility**, a **generating unit** and an **aggregated generating facility**; and
- (b) the documentation in accordance with requirement R1, for a **generating unit** and an **aggregated generating facility**.

MR6 Evidence of having **facility ratings** that are consistent with the **facility ratings** methodology in accordance with requirements R2 and R3, and the documentation in accordance with requirement R1 exists.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standard FAC-008-AB-3, requirement R6.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Referral from the AESO to the MSA dated November 27, 2020, including the AESO Alberta Reliability Standards Compliance Monitoring Audit Report on the AESO Q2/2020 compliance monitoring audit of AltaLink L.P., by its general partner, AltaLink Management Ltd (AltaLink).
2. Mitigation Plan submitted on January 15, 2021 by AltaLink to the MSA.
3. Revised Mitigation Plan submitted on February 9, 2021 by AltaLink to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the “General Revenue Fund c/o Minister of Finance”, and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE			
Signature	"Original Signed"	Signature Date	February 24, 2021
Name	Andrew Wilkins	Title	Director, Compliance

¹ Specified Penalty Amount conditional on the completion of the accepted Mitigation Plan.