

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 4, 2020		Payment Due Date: October 7, 2020	
MSA File Number	RS2020-017	Specified Penalty Amount	\$3,750
Registered Entity Name	Oldman 2 Wind Farm Limited		
Reliability Standard	PRC-001-AB2-1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R1	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	February 11, 2020	Date of Contravention	October 1, 2016 to September 30, 2017

EVENT DETAILS

Pursuant to the Alberta Electric System Operator (AESO) Alberta Reliability Standards 2019–2021 Audit Schedule, the AESO conducted a scheduled Q4/2019 compliance monitoring audit of Oldman 2 Wind Farm Limited. The applicable audit period with respect to PRC-001-AB2-1 extended from October 1, 2016 to September 30, 2017. Based upon the AESO’s audit findings, the MSA finds that PRC-001-AB2-1 was contravened as follows:

- 1) From October 1, 2016 to February 28, 2017, Oldman 2 Wind Farm Limited was unable to provide evidence to demonstrate that operating personnel of Oldman 2 facility were familiar with the purpose and limitations of protection system schemes applied in its area.
- 2) From March 1, 2017 to September 30, 2017, Oldman 2 Wind Farm Limited was unable to provide evidence to demonstrate that operating personnel of Oldman 2 and Wintering Hills facilities were familiar with the purpose and limitations of protection system schemes applied in its area.

PRC-001-AB2-1 states, in part:

R1 The operating personnel of each of the ISO, the operator of a transmission facility, the operator of a generating unit and the operator of an aggregated generating facility must be familiar with the purpose and limitations of protection system schemes applied in its area.

MR1 Training records are available that indicate training of staff who operate the system in protection system schemes and any remedial action scheme applicable within their system.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standard PRC-001-AB2-1, requirement R1.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Referral from the AESO to the MSA dated February 11, 2020, including the AESO Alberta Reliability Standards Compliance Monitoring Audit Report on the AESO Q4/2019 compliance monitoring audit of Oldman 2 Wind Farm Limited.
2. Completed Mitigation Plan submitted on August 10, 2020 by Oldman 2 Wind Farm Limited to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the “General Revenue Fund c/o Minister of Finance”, and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	September 4, 2020
Name	Andrew Wilkins	Title	Director, Compliance