

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 30, 2020		Payment Due Date: November 2, 2020	
MSA File Number	RS2020-010	Specified Penalty Amount	\$1,500
Registered Entity Name	City of Medicine Hat		
Reliability Standard	PRC-005-AB1-6	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R1	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	January 31, 2020	Date of Contravention	October 1, 2019 to December 14, 2019

EVENT DETAILS

From October 1, 2019 to December 14, 2019 City of Medicine Hat did not establish a protection system maintenance program for its protection systems, automatic reclosing and sudden pressure relaying. PRC-005-AB1-6 states, in part:

R1 Each legal owner of a transmission facility, legal owner of a generating unit, and legal owner of an aggregated generating facility must establish a protection system maintenance program for its protection systems, automatic reclosing, and sudden pressure relaying.

MR1 Evidence of having a documented protection system maintenance program in accordance with requirement R1 exists. Evidence may include, but is not limited to a documented protection system maintenance program that may include supporting information such as manufacturer's specifications or engineering drawings or other equivalent evidence.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standard PRC-005-AB1-6, requirement R1.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-Report submitted by the City of Medicine Hat to the MSA dated January 31, 2020.
2. Completed Mitigation Plan submitted by the City of Medicine Hat to the MSA dated January 31, 2020.
3. Referral from the AESO to the MSA dated May 27, 2020, including the AESO Reliability Standards Compliance Monitoring Audit Report on the AESO Q1/2020 compliance monitoring audit of the City of Medicine Hat.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	September 30, 2020
Name	Andrew Wilkins	Title	Director, Compliance