

NOTICE OF SPECIFIED PENALTY

Date of Issue: December 12, 2018		Payment Due Date: January 11, 2019	
MSA File Number	RS2018-005	Specified Penalty Amount	\$2,250
Registered Entity Name	Western Sustainable Power Inc.		
Reliability Standard	COM-001-AB-1.1 / COM-001-AB1-1.1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R5	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	January 12, 2018	Date of Contravention	July 1, 2014 to June 30, 2017

EVENT DETAILS

Pursuant to the Alberta Electric System Operator (AESO) Alberta Reliability Standards 2017–2019 audit schedule, the AESO conducted a scheduled Q3/2017 compliance monitoring audit of Western Sustainable Power Inc. The applicable audit period with respect to COM-001-AB-1.1 / COM-001-AB1-1.1 extended from July 1, 2014 to June 30, 2017. Based upon the AESO's audit findings, COM-001-AB-1.1 / COM-001-AB1-1.1 were contravened as follows:

From July 1, 2014 to December 13, 2014, there was insufficient evidence to demonstrate that Western Sustainable Power Inc. provided a means to coordinate voice and message telecommunications with the ISO and adjacent interconnected transmission operator. From July 1, 2014 to June 30, 2017, there was no evidence to demonstrate that Western Sustainable Power Inc.'s coordination included the ability to investigate and recommend solutions to voice and message telecommunications problems within Alberta. COM-001-AB-1.1 / COM-001-AB1-1.1 state, in part:

R5 Each operator of a transmission facility must provide a means to coordinate voice and message telecommunications with the ISO and adjacent interconnected transmission operators, which coordination must include the ability to investigate and recommend solutions to voice and message telecommunications problems within Alberta.

MR5 Evidence of providing a means to coordinate voice and message telecommunications as required in requirement R5 exists. Evidence may include a documented procedure in place which identifies a process for coordinating telecommunications and a process for investigating and recommending solutions to telecommunications problems.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standard COM-001-AB-1.1 / COM-001-AB1-1.1, requirement R5.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Referral from the AESO to the MSA dated January 12, 2018, including the AESO Alberta Reliability Standards Compliance Monitoring Audit Report on the AESO Q3/2017 compliance monitoring audit of Western Sustainable Power Inc.
2. Mitigation Plan submitted by Western Sustainable Power Inc. to the MSA dated April 11, 2018.
3. Letter from the AESO to Western Sustainable Power Inc. dated November 1, 2018.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 1400, 600 – 3rd Avenue S.W., Calgary, Alberta, T2P 0G5. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	December 12, 2018
Name	Doug Doll	Title	Director, Corporate Services and Compliance