

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 24, 2017		Payment Due Date: December 27, 2017	
MSA File Number	RS2017-050	Specified Penalty Amount	\$3,000
Registered Entity Name	AltaLink L.P., by its general partner, AltaLink Management Ltd.		
Reliability Standard	PRC-023-AB-2	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R4	Accepted Mitigation Plan	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Date of Referral/Self Report	September 18, 2017	Date of Contravention	April 1, 2015 to December 31, 2015

EVENT DETAILS

Pursuant to the Alberta Electric System Operator (AESO) Alberta Reliability Standards 2017–2019 audit schedule, the AESO conducted a scheduled Q2/2017 compliance monitoring audit of AltaLink L.P., by its general partner, AltaLink Management Ltd. The applicable audit period with respect to PRC-023-AB-2 extended from April 1, 2015 to March 31, 2017. Based upon the AESO audit findings, PRC-023-AB-2 was contravened as follows:

Between April 1, 2015 to December 31, 2015, no evidence was seen to demonstrate that AltaLink L.P., by its general partner, AltaLink Management Ltd. provided the Independent System Operator (ISO) with an updated list of circuits associated with the transmission line relays using requirement R1.2 as the basis for verifying transmission line relay loadability. PRC-023-AB-2 states, in part:

R4 Any legal owner of a transmission facility, legal owner of a generating unit or legal owner of an aggregated generating facility that uses requirement R1.2 as the basis for verifying transmission line relay loadability must provide the ISO with an updated list of circuits associated with those transmission line relays at least once each calendar year, with no more than fifteen (15) months between reports.

[...]

MR4 Evidence of providing the ISO with an updated list of circuits as required in requirement R4 exists. Evidence may include email or mail to the appropriate ISO recipient with the updated list which may either be a full list, a list of incremental changes to the previous list, or a statement that there are no changes to the previous list.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standard PRC-023-AB-2, requirement R4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

- Referral from the AESO to the MSA dated September 18, 2017, including the AESO Alberta Reliability Standards Compliance Monitoring Audit Report on the AESO Q2/2017 compliance monitoring audit of AltaLink L.P., by its general partner, AltaLink Management Ltd.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be directed to the AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) Jeremy.Smith@auc.ab.ca, and Greg Andrews (Investigator) Greg.Andrews@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	November 24, 2017
Name	Andrew Wilkins	Title	Manager, Compliance