

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$500.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2021
Date of Referral/Self Report	na	This is the 1st contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 20, 2021, during HE 11, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$1,500.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2021
Date of Referral/Self Report	na	This is the 2nd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 20, 2021, during HE 12, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$5,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2021
Date of Referral/Self Report	na	This is the 3rd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 20, 2021, during HE 13, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2021
Date of Referral/Self Report	na	This is the 4th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 20, 2021, during HE 14, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2021
Date of Referral/Self Report	na	This is the 5th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 20, 2021, during HE 15, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2021
Date of Referral/Self Report	na	This is the 6th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 20, 2021, during HE 16, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2021
Date of Referral/Self Report	na	This is the 7th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 20, 2021, during HE 17, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	na	This is the 10th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 26, 2021, during HE 21, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

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MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	na	This is the 11th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 26, 2021, during HE 22, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

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MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 26, 2021
Date of Referral/Self Report	na	This is the 12th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 26, 2021, during HE 23, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

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Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 27, 2021
Date of Referral/Self Report	na	This is the 13th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 27, 2021, during HE 1, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2021
Date of Referral/Self Report	na	This is the 14th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 22, 2021, during HE 16, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

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APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 15, 2022
Date of Referral/Self Report	na	This is the 6th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On March 15, 2022, during HE 19, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$500.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV3	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 3, 2022
Date of Referral/Self Report	na	This is the 1st contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 3, 2022, during HE 12, ERV3 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV3 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$1,500.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV3	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 3, 2022
Date of Referral/Self Report	na	This is the 2nd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 3, 2022, during HE 13, ERV3 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV3 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$500.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV5	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2023
Date of Referral/Self Report	na	This is the 1st contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 18, 2023, during HE 18, ERV5 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV5 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

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APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$1,500.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV5	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2023
Date of Referral/Self Report	na	This is the 2nd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 18, 2023, during HE 19, ERV5 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV5 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$5,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV5	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2023
Date of Referral/Self Report	na	This is the 3rd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 18, 2023, during HE 20, ERV5 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV5 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV5	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2023
Date of Referral/Self Report	na	This is the 4th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 18, 2023, during HE 21, ERV5 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV5 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

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APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$1,500.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2023
Date of Referral/Self Report	na	This is the 2nd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 25, 2023, during HE 13, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

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NOTICE

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APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$5,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2023
Date of Referral/Self Report	na	This is the 3rd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 25, 2023, during HE 14, ERV1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV1 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 3, 2025		Payment Due Date: July 3, 2025	
MSA File Number	23-021	Specified Penalty Amount	\$10,000.00
Market Participant Name	Enfinite Generation Corporation		
Asset ID (if applicable)	ERV5	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2023
Date of Referral/Self Report	na	This is the 5th contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 18, 2023, during HE 8, ERV5 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(2) A pool participant must ensure that, while its pool asset is under a dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, ERV5 failed to maintain a frequency response that was continuously proportional to the measured frequency as set out in 6(2)(a) and (b) in accordance with the appropriate droop settings. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO Rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on June 3, 2025