NOTICE OF SPECIFIED PENALTY

Date of Issue: October 28, 2026		Payment Due Date: November 30, 2026	
MSA File Number	2026-127	**Specified Penalty Amount**	**$2,500.00**
Market Participant Name:	Halkirk I Wind Project LP		
Asset ID (if applicable)	HAL1	Self-Report	Yes: ☒ No: ☐
ISO Rule Section	203.4	Date of Contravention:	March 21, 2026 - March 22, 2026
Date of Referral/Self Report	April 21, 2026	This is the 3rd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

ISO rule 203.4, Delivery Requirements for Energy, states in part:

> 3(1) A pool participant must not, during generating asset steady state, vary the average MW it delivers from a source asset in any 10 minute clock period outside the allowable dispatch variance.

From March 21, 2026 - March 22, 2026, HAL1 generated outside of its allowable dispatch variance for multiple ten-minute clock periods.

The MSA is satisfied that the conduct was a contravention of section 203.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to enforcement@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, enforcement@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to enforcement@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at enforcement@albertamsa.ca.

APPROVED BY

Mike Morganton, Executive Director, Enforcement on October 27, 2026