

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 13, 2026		Payment Due Date: August 13, 2026	
MSA File Number	2026-009	Specified Penalty Amount	\$750.00
Market Participant Name:	Halkirk I Wind Project LP		
Asset ID (if applicable)	HAL1	Self-Report	Yes: ☒ No: ☐
ISO Rule Section	203.4	Date of Contravention:	December 10, 2025
Date of Referral/Self Report	January 08, 2026	This is the 2nd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

Section 203.4 of the ISO Rules states, in part:

3(1) A pool participant must not, during generating asset steady state, vary the average MW it delivers from a source asset in any 10 minute clock period outside the allowable dispatch variance.

At the beginning of the Hour Ending 2 on December 10, 2025, the HAL1 asset was dispatched to its default Available Capability and in generating steady state. At approximately 1:37 AM, the asset's potential real power began to increase, but the asset did not ramp accordingly. For multiple ten-minute clock periods between 1:40 AM and 2:40 AM, the HAL1 asset generated outside of its allowable dispatch variance.

The MSA is satisfied that the conduct was a contravention of Section 205.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca (<mailto:AU.FinOps@gov.ab.ca>), compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) and enforcement@auc.ab.ca (<mailto:enforcement@auc.ab.ca>) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

APPROVED BY

Mike Morganton, Executive Director, Enforcement on July 13, 2026