

## NOTICE OF SPECIFIED PENALTY

|                              |                      |                                                                                                                 |                                                                       |
|------------------------------|----------------------|-----------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|
| Date of Issue: June 02, 2026 |                      | Payment Due Date: July 02, 2026                                                                                 |                                                                       |
| MSA File Number              | 2025-492             | Specified Penalty Amount                                                                                        | \$250.00                                                              |
| Market Participant Name:     | Enfinite Corporation |                                                                                                                 |                                                                       |
| Asset ID (if applicable)     | ERV6                 | Self-Report                                                                                                     | Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/> |
| ISO Rule Section             | 205.9                | Date of Contravention:                                                                                          | December 02, 2025 -<br>December 04, 2025                              |
| Date of Referral/Self Report | December 10, 2025    | This is the 1st contravention by this asset for this section of the ISO rules within a rolling 12-month period. |                                                                       |

### MSA FINDINGS

As of the beginning of the hour ending ("HE") 24 on December 2, 2025, ERV6 maintained an active offer of 20 MW of fast-frequency response ("FFR"). Information available to the Market Surveillance Administrator ("MSA") indicates that ERV6 continued to offer 20 MW of FFR while concurrently accepting dispatches for operating reserves, and continued in doing so until approximately 07:00 on December 4, 2025. Section 205.9 of the ISO Rules provides, in part:

(7) The market participant must not use the capacity it makes available or that is dispatched to provide fast frequency response service to simultaneously provide operating reserves.

The MSA is satisfied that the conduct was a contravention of section 205.9 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) (<mailto:compliance@albertamsa.ca>) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca) (<mailto:AU.FinOps@gov.ab.ca>), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) (<mailto:compliance@albertamsa.ca>) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) (<mailto:enforcement@auc.ab.ca>) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) (<mailto:compliance@albertamsa.ca>).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) (<mailto:compliance@albertamsa.ca>).

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| <b>APPROVED BY</b>                                               |
| Mike Morganton, Executive Director, Enforcement on June 02, 2026 |

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