

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 22, 2026		Payment Due Date: June 22, 2026	
MSA File Number	2025-456	Specified Penalty Amount	\$250.00
Market Participant Name:	Alberta Electric System Operator		
Asset ID (if applicable)	AESO	Self-Report	Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>
ISO Rule Section	306.4	Date of Contravention:	April 16, 2025 - May 28, 2025
Date of Referral/Self Report	November 12, 2025	This is the 1st contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 28, 2025, the Alberta Electric System Operator ('AESO') made a transmission outage available to the public by posting it on its website. The outage was scheduled to begin on May 29, 2025. Information available to the MSA indicates that the AESO received the transmission outage information on April 15, 2025.. Section 10(1) of the ISO Rules Section 306.4, states, in part:

10(1) The ISO must publish on the AESO website a list of significant planned outages that are to occur in the period beginning in the operating week after the upcoming operating week and ending 24 months later.

The MSA is satisfied that the conduct was a contravention of Section 306.4 of the ISO rules

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca (<mailto:AU.FinOps@gov.ab.ca>), compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) and enforcement@auc.ab.ca (<mailto:enforcement@auc.ab.ca>) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

APPROVED BY

Mike Morganton, Executive Director, Enforcement on May 19, 2026