

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 15, 2026		Payment Due Date: May 15, 2026	
MSA File Number	2025-417	Specified Penalty Amount	\$1,500
Market Participant Name	TransAlta Generation Partnership		
Asset ID (if applicable)	KH3	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	October 2, 2024
Date of Referral/Self Report	October 9, 2025	This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

At approximately 05:58 on October 2, 2024, the KH3 asset received an energy dispatch to 461 MW effective at 06:00. Information available to the MSA indicates that KH3 did not satisfy the ramping requirements outlined in subsection 4 of Section 203.4 of the ISO Rules.

Section 203.4 of the ISO rules states, in part:

4(1) A pool participant must move the output of a source asset, which is the subject of a dispatch, towards the MW level indicated in that dispatch within 10 minutes of, but not prior to, the time specified in the dispatch.

(2) A pool participant must ensure that each source asset reaches generating asset steady state in:

(a) no longer than the period of time calculated as follows:

- (i) divide the change in dispatch MW by the ramp rate the pool participant submits;
- (ii) add 40% of the time calculated in subsection 4(2)(a)(i) or 5 minutes, whichever is greater; and
- (iii) add the 10 minutes referred to in subsection 4(1)

The MSA is satisfied that the conduct was a contravention of Section 203.4 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mike Morganton, Executive Director, Enforcement, on April 15, 2026