

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 02, 2026		Payment Due Date: July 02, 2026	
MSA File Number	2025-403	Specified Penalty Amount	\$250.00
Market Participant Name:	Suncor Energy Inc.		
Asset ID (if applicable)	SCR1	Self-Report	Yes: <input checked="" type="checkbox"/> No: <input type="checkbox"/>
ISO Rule Section	503.18	Date of Contravention:	September 09, 2025
Date of Referral/Self Report	September 29, 2025	This is the 1st contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

ISO Rule 503.18, states, in part:

(3) The operator must, if it determines that any equipment required to meet the technical design requirements of an applicable ISO rule has become unavailable or is otherwise no longer meeting those requirements, notify the ISO, in writing, in accordance with subsection 2(4) no later than one business day after making such a determination.

(4) The operator must include the following information in the notification to the ISO under subsection 2(2):

- (a) a description of the cause of the equipment unavailability or the reason that the equipment no longer meets the technical design requirements;
- (b) a plan to address the issue identified under subsection 2(3), including testing; and
- (c) the expected date and time at which the issue identified under subsection 2(3) will be resolved.

On September 9, 2025, equipment associated with the SCR1 GTG-13 generating unit that was required to comply with the technical design requirements of an ISO rule ceased to meet those requirements. Information available to the MSA indicates that the AESO was notified of the equipment issue on September 25, 2025 which exceeded the one business day deadline for such notification.

The MSA is satisfied that the conduct was a contravention of section 503.18 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca (<mailto:AU.FinOps@gov.ab.ca>), compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) and enforcement@auc.ab.ca (<mailto:enforcement@auc.ab.ca>) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

APPROVED BY

Mike Morganton, Executive Director, Enforcement on June 02, 2026