

## NOTICE OF SPECIFIED PENALTY

Date of Issue: April 15, 2026		Payment Due Date: May 15, 2026	
MSA File Number	2025-397	<b>Specified Penalty Amount</b>	<b>\$750</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.4	Date of Contravention	July 21, 2025
Date of Referral/Self Report	September 23, 2025	This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 20, 2025, the BRA asset received a standby activation at approximately 23:43 for 10 MW of regulating reserve effective at 00:00. Information available to the MSA indicates that at the time that the dispatch became effective, the BRA asset did not have any regulating reserve resources in position to provide the regulating reserve.

Section 205.4 of the ISO rules states, in part:

5(1) A pool participant must ensure that, following the receipt of a dispatch to provide regulating reserve, one or more regulating reserve resources of the pool asset are positioned for the regulating reserve range indicated in the dispatch.

(2) A pool participant must ensure that each regulating reserve resource being used to provide regulating reserve meets the requirements set out in subsection 5(1) beginning at:

(a) the time stated in the dispatch, for a dispatch with a time more than 15 minutes from the time the pool participant receives the dispatch; or

(b) the time stated in the dispatch or as soon as possible thereafter, but in any event, not more than 15 minutes after receiving the dispatch, for a dispatch with a time (15 minutes or less from the time the pool participant receives the dispatch.

(3) A pool participant must ensure that, after positioning each regulating reserve resource being used to provide regulating reserve in accordance with subsection 5(1), the regulating reserve control status is sent to the ISO:

(a) indicating that the regulating reserve resource is enabled to provide regulating reserve; and

(b) identifying the high and low limits of the regulating reserve range.

The MSA is satisfied that the conduct was a contravention of Section 205.4 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

**APPROVED BY**

Mike Morganton, Executive Director, Enforcement, on April 15, 2026