

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 23, 2026		Payment Due Date: April 23, 2026	
MSA File Number	2025-375	Specified Penalty Amount	\$250
Market Participant Name	Winnifred Wind Project L.P.		
Asset ID (if applicable)	WIN1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.3	Date of Contravention	August 18, 2025
Date of Referral/Self Report	September 2, 2025	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On August 18th, 2025, the WIN1 asset's available capability ('AC') was set to 136MW for the hour endings ('HE') 1 through 8 settlement intervals. Information available to the MSA indicates that between HE 1 through HE 8, the AC of the WIN1 asset was affected, but an AC restatement was not submitted.

Section 203.3 of the ISO rules states, in part:

2(1) A pool participant must only submit an available capability restatement revising the available capability of a source asset if such revision is:

(a) as a result of an acceptable operational reason;

(b) in relation to an operational deviation and required under subsection 5(3) of section 203.4 of the ISO rules, Delivery Requirements for Energy; or [...]

The MSA is satisfied that the conduct was a contravention of Section 203.3 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mike Morganton, Executive Director, Enforcement, on March 20, 2026