

## NOTICE OF SPECIFIED PENALTY

Date of Issue: March 26, 2026		Payment Due Date: April 26, 2026	
MSA File Number	2025-367	<b>Specified Penalty Amount</b>	<b>\$500</b>
Market Participant Name	Capital Power (G3) Limited Partnership		
Asset ID (if applicable)	GN3	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2025
Date of Referral/Self Report	August 26, 2025	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2025, Capital Power received a spinning reserve directive for 17 MW at approximately 12:35 for its GN3 asset. Ten minutes following the directive, the quantity of real power provided by GN3 was less than the directed amount.

Section 205.5 of the ISO rules states, in part:

10(1) A pool participant must, within 10 minutes following receipt of a directive to provide spinning reserve, ensure that its pool asset is providing a quantity of real power equal to the instantaneous amount of real power of the pool asset at the time of the directive and the amount of real power set out in the directive.

[...]

The MSA is satisfied that the conduct was a contravention of Section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mike Morganton, Executive Director, Enforcement, on March 26, 2026

## NOTICE OF SPECIFIED PENALTY

Date of Issue: March 26, 2026		Payment Due Date: April 26, 2026	
MSA File Number	2026-096	<b>Specified Penalty Amount</b>	<b>\$1,500</b>
Market Participant Name	Capital Power (G3) Limited Partnership		
Asset ID (if applicable)	GN3	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 21, 2025
Date of Referral/Self Report	August 26, 2025	This is the second contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 21, 2025, Capital Power received a spinning reserve directive for 17 MW at approximately 13:16 for its GN3 asset. Ten minutes following the directive, the quantity of real power provided by GN3 was less than the directed amount.

Section 205.5 of the ISO rules states, in part:

10(1) A pool participant must, within 10 minutes following receipt of a directive to provide spinning reserve, ensure that its pool asset is providing a quantity of real power equal to the instantaneous amount of real power of the pool asset at the time of the directive and the amount of real power set out in the directive.

[...]

The MSA is satisfied that the conduct was a contravention of Section 205.5 of the ISO rules.

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