

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 11, 2026		Payment Due Date: March 13, 2026	
MSA File Number	2025-364	Specified Penalty Amount	\$500
Market Participant Name	Windrise Wind LP		
Asset ID (if applicable)	WRW1	Self-Report	☐ YES ☒ NO
ISO Rule Section	203.1	Date of Contravention	June 10, 2021
Date of Referral/Self Report	August 25, 2025	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

Information available to the MSA indicates that operating constraint information was not submitted into the Electronic Trading System ("ETS") for WRW1 upon becoming an active pool asset as required under Section 203.1 which states, in part:

- 6(1) A pool participant must also submit the following operating constraints for a pool asset:
- (a) for offers and bids, ramp rate; and
 - (b) for offers, the initial start-up time of the asset.

- (2) A pool participant must submit to the ISO any changes to the operating constraints of a pool asset as soon as practicable.

The MSA is satisfied that the conduct was a contravention of Section 203.1 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mike Morganton, Executive Director, Enforcement, on February 10, 2026