

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 29, 2026		Payment Due Date: June 29, 2026	
MSA File Number	2025-341	Specified Penalty Amount	\$1,500.00
Market Participant Name:	Ghost Pine Windfarm, LP		
Asset ID (if applicable)	NEP1	Self-Report	Yes: ☐ No: ☒
ISO Rule Section	201.10	Date of Contravention:	January 07, 2025 - February 23, 2025
Date of Referral/Self Report	August 11, 2025	This is the 2nd contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

Information available to the MSA indicates that for various periods of time between January 1 and February 23, 2025, Ghost Pine Windfarm operated the NEP1 asset without accessing the ISO's Automated Dispatch and Messaging System. Section 201.10 of the ISO rules states, in part:

2 A pool participant must access and operate the ISO's Automated Dispatch and Messaging System application to receive dispatches or directives for each pool asset for which a pool participant submits offers or bids.

The MSA is satisfied that the conduct was a contravention of section 201.10 of the ISO rules

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca (<mailto:AU.FinOps@gov.ab.ca>), compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>) and enforcement@auc.ab.ca (<mailto:enforcement@auc.ab.ca>) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca (<mailto:compliance@albertamsa.ca>).

APPROVED BY
Mike Morganton, Executive Director, Enforcement on May 29, 2026