

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-250	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2025
Date of Referral/Self Report	June 17, 2025	This is contravention #33 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2025, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

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Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2025
Date of Referral/Self Report	June 17, 2025	This is contravention #34 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 13, 2025, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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