

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 25, 2025		Payment Due Date: December 26, 2025	
MSA File Number	2025-242	Specified Penalty Amount	\$500
Market Participant Name	ATCO DB Solar GP Services Ltd.		
Asset ID (if applicable)	DFT1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.1	Date of Contravention	July 14, 2023
Date of Referral/Self Report	June 13, 2025	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

Subsection 6, Operating Constraints for Offers and Bids of Section 203.1, states in part:

- (1) A pool participant must also submit the following operating constraints for a pool asset:
 - (a) for offers and bids, ramp rate; and
 - (b) for offers, the initial start-up time of the asset.

Information available to the MSA indicates that the DFT1 asset became active in the Energy Trading System (ETS) on July 14, 2023. However, ATCO DB Solar GP Services Ltd. did not submit the operating constraints information for DFT1 until May 21, 2025.

The MSA is satisfied that the conduct was a contravention of Section 203.1 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator and CEO, on November 24, 2025