

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 25, 2025		Payment Due Date: December 26, 2025	
MSA File Number	2025-235	<b>Specified Penalty Amount</b>	<b>\$250</b>
Market Participant Name	ATCO DB Solar GP Services Ltd.		
Asset ID (if applicable)	DFT1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	306.5	Date of Contravention	July 24, 2024
Date of Referral/Self Report	June 10, 2025	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

Subsection 3, Planned Outage Notification Requirements, of Section 306.5 states, in part:

- 3(1) A pool participant must, in respect of any planned outage, submit to the ISO
  - (a) the dates, times, durations and impact to MW capability for the planned outage;
  - (b) the specific nature of the planned outage work to be done; and
  - (c) a designation of the planned outage as “Derate-Planned” or “Outage-Planned”.

(2) A pool participant must, by the first day of every month after the date of energization, submit the information set out in subsection 3(1) to the ISO related to planned outages that, as of the time of the submission, are planned to occur at any time within the next 24 months.

(3) A pool participant must, with respect to:

- (a) any revisions to the information submitted to the ISO under subsection 3(1); or
- (b) a planned outage that is not included in the submission set out in subsection 3(2);

submit such information or planned outage as soon as reasonably practicable.

Subsection 2, General, of Section 306.5 states, in part:

(1) A pool participant must, for any outage that results or will result in a change in available capability of greater than or equal to 5 MW, comply with the notification requirements set forth in subsections 3, 4 or 5, as applicable.

[...]

(3) A pool participant must submit information required to be provided to the ISO pursuant to this Section 306.5 via the Energy Trading System.

Information available to the MSA indicates that on July 24, 2024, the Maximum Capability (MC) of the DFT1 asset increased from 37 MW to 41 MW. However, ATCO DB Solar GP Services Ltd. failed to update DFT1's Available Capability (AC) information to reflect the updated MC.

The MSA is satisfied that the conduct was a contravention of Section 306.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

**APPROVED BY**

Derek Olmstead, Administrator and CEO, on November 24, 2025