

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 17, 2025		Payment Due Date: November 17, 2025	
MSA File Number	2025-230	Specified Penalty Amount	\$250
Market Participant Name	TransAlta Generation Partnership		
Asset ID (if applicable)	KH3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.3	Date of Contravention	May 12, 2025
Date of Referral/Self Report	June 9, 2025	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 15, 2025, TransAlta Generation Partnership inputted a planned derate for May 20, 2025, for the hours ending 9 through 16 for the KH3 asset and adjusted the asset's available capability (AC) to 215 MW for the affected settlement intervals. On May 13, 2025, KH3's AC for the affected settlement intervals was inadvertently restated to 466 MW. At approximately 01:40 on May 18, 2025, the AC was restated back to 215 MW for the hours ending 9 through 16 for May 20, 2025.

Section 203.3 of the ISO Rules, states, in part:

2(1) A pool participant must only submit an available capability restatement revising the available capability of a source asset if such revision is:

- (a) as a result of an acceptable operational reason;
- (b) in relation to an operational deviation and required under subsection 5(3) of Section 203.4 of the ISO rules, *Delivery Requirements for Energy*; or
- (c) in order to reflect the output of the source asset which is restricted during either one or both of commissioning and testing under Section 505.3 of the ISO rules, *Coordinating Synchronization, Commissioning, WECC Testing and Ancillary Services Testing* or under Section 505.4 of the ISO rules, *Coordinating Operational Testing*.

(2) A pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of any of the circumstances outlined in subsections 2(1)(a), (b) or (c), submit an available capability restatement revising the available capability for the applicable hours, as soon as practicable.

(3) A pool participant must submit the reason or reasons for submitting an available capability restatement for a source asset.

The MSA is satisfied that the conduct was a contravention of section 203.3 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator and CEO, on October 15, 2025