

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #5,087 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 13, 2023, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

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Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #4,855 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2023, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #4,856 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 25, 2023, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #4,837 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 26, 2023, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 3, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #4,680 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 3, 2023, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 3, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #4,681 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 3, 2023, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 15, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #1,825 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 15, 2023, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #1,812 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2023, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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Date of Referral/Self Report	May 15, 2025	This is contravention #1,813 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 16, 2023, during HE 3, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

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Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 16, 2023
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On July 16, 2023, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 14, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #1,364 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 14, 2023, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #1,247 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 20, 2023, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #664 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2023, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 27, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #526 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 27, 2023, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	October 7, 2023
Date of Referral/Self Report	May 15, 2025	This is contravention #340 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On October 7, 2023, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #16 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 11, 2024, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #16 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2024, during HE 6, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 15, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #17 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 15, 2024, during HE 7, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #18 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2024, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #19 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2024, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #20 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2024, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #21 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2024, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #22 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 19, 2024, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #23 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2024, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #24 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 20, 2024, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

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Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #25 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

- (a) in service at all times; and
- (b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #26 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #27 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #28 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #29 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #30 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #31 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #32 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #33 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #34 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On January 21, 2024, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 5, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #30 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 5, 2024, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 5, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #31 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 5, 2024, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 6, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #32 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 6, 2024, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 24, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #33 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 24, 2024, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 7, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #34 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 7, 2024, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #35 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2024, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #36 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2024, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #37 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2024, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #38 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On April 19, 2024, during HE 23, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 8, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #39 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 8, 2024, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	June 11, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #40 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 11, 2024, during HE 24, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 6, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #41 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 6, 2024, during HE 18, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 6, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #42 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 6, 2024, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	July 6, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #43 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On July 6, 2024, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 2, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #40 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 2, 2024, during HE 19, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 28, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #39 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On August 28, 2024, during HE 4, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 10, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #40 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 10, 2024, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

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Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #41 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 15, 2024, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 20, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #41 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 20, 2024, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

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While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #41 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 8, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

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MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #42 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 9, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

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Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #43 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 10, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #44 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 11, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #45 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 12, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #46 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #47 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #48 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #49 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 16, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 29, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #50 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On September 29, 2024, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 20, 2024
Date of Referral/Self Report	May 15, 2025	This is contravention #50 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On December 20, 2024, during HE 17, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 7, 2025
Date of Referral/Self Report	May 15, 2025	This is contravention #28 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 7, 2025, during HE 1, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

### DELIVERY OF PAYMENT

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2025
Date of Referral/Self Report	May 15, 2025	This is contravention #29 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 14, 2025, during HE 15, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2025
Date of Referral/Self Report	May 15, 2025	This is contravention #30 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2025, during HE 13, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

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Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2025
Date of Referral/Self Report	May 15, 2025	This is contravention #31 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On February 16, 2025, during HE 14, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

## NOTICE OF SPECIFIED PENALTY

Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 9, 2025
Date of Referral/Self Report	May 15, 2025	This is contravention #30 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 9, 2025, during HE 21, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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### APPROVED BY

Shadi Merhej, Enforcement Analyst, on July 14, 2025

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Date of Issue: July 14, 2025		Payment Due Date: August 14, 2025	
MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 9, 2025
Date of Referral/Self Report	May 15, 2025	This is contravention #31 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 9, 2025, during HE 22, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

(b) operating without load limiters or other control systems including outer control loops that would prevent the governor or governor system from achieving the maximum frequency response.

While under dispatch to provide spinning reserve, a BRA spinning reserve resource did not have a governor system in service which met the requirements set out in subsection 6(1) (a) and (b) of section 205.5 of the ISO rules. The MSA is satisfied that the event was a contravention of section 205.5 of the ISO rules.

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MSA File Number	2025-193	<b>Specified Penalty Amount</b>	<b>\$10,000</b>
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 22, 2025
Date of Referral/Self Report	May 15, 2025	This is contravention #32 by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On March 22, 2025, during HE 20, BRA was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

6(1) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the governor or governor system of each spinning reserve resource providing spinning reserve is operating such that it is:

(a) in service at all times; and

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