

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 20, 2025		Payment Due Date: July 21, 2025	
MSA File Number	2025-140	Specified Penalty Amount	\$500
Market Participant Name	CI IV Buffalo Plains LP		
Asset ID (if applicable)	BPW1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	503.16	Date of Contravention	December 9, 2024
Date of Referral/Self Report	April 8, 2025	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

Information available to the MSA indicates that as of December 9, 2024, the BPW1 asset stopped reporting regular potential real power values. The potential real power data for the BPW1 asset continued to be unavailable until January 16, 2025. Section 503.16 of the ISO rules states, in part:

2 (2) The legal owner of an aggregated facility containing a wind or solar resource must meet the supervisory control and data requirements set out in Appendix 2.

[...]

5 (1) A legal owner must implement the communication methods for supervisory control and data acquisition data between its facility and the ISO in accordance with Table 3.

Appendix 2 states that the BPW1 asset must report potential real power capability. Table 3 further requires that the data availability is no less than 98.0%, or a maximum 175.2 hours of unavailability per year.

The MSA is satisfied that the conduct was a contravention of section 503.16 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator and CEO on June 18, 2025