

NOTICE OF SPECIFIED PENALTY

Date of Issue: May 26, 2025		Payment Due Date: June 26, 2025	
MSA File Number	2025-126	Specified Penalty Amount	\$500
Market Participant Name	AECG Forty Mile Wind LP		
Asset ID (if applicable)	FRM1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	203.1	Date of Contravention	October 23, 2024
Date of Referral/Self Report	March 28, 2025	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

At 10:55, on October 22, 2024, for all hour endings (HE) of October 23, 2024, the minimum stable generation (MSG) for the FRM1 asset was restated from 0 MW to 266 MW. The 266 MW MSG was continuously declared until January 17, 2025, and then restated at 14:22 to 126 MW for the period of January 20 HE1 to January 21 HE24. Then, for all periods after until February 27, 2025, the MSG continued to be declared at 266 MW for each day. Information available to the MSA indicates that the MSG for the FRM1 asset should have been declared at 0 MW throughout the period.

Section 203.1 of the ISO Rules, states, in part:

- (3) A pool participant must include in each operating block in an offer;
- must also include in the offer the minimum stable generation for the source asset.

Where *minimum stable generation* is defined in the AESO's Consolidated Authoritative Document Glossary as, in part:

- (i) the greater of the minimum generation level, in MW, that a source asset can be continuously operated at without:
- (a) becoming unstable; or
 - (b) violating environmental permits; [...]

The MSA is satisfied that the conduct was a contravention of section 203.1 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Derek Olmstead, Administrator & Chief Executive Officer on May 26, 2025