NOTICE OF SPECIFIED PENALTY			
Date of Issue: May 15, 2025		Payment Due Date: June 16, 2025	
MSA File Number	2025-085	Specified Penalty Amount	\$500
Market Participant Name	Oldman 2 Wind Farm Limited		
Asset ID (if applicable)	OWF1	Self-Report	☐ YES ⊠ NO
ISO Rule Section	502.16	Date of Contravention	February 7, 2022 – February 7, 2025
Date of Referral/Self Report	February 28, 2025	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

## **MSA FINDINGS**

Information available to the MSA indicates that model revalidation testing for the OWF1 asset was due to be submitted to the AESO by July 28, 2020. As of February 7, 2025, the required report(s) have not been submitted.

Section 502.16 of the ISO rules states, in part:

11(1) The legal owner of an aggregated generating facility must, for each model referenced in subsection 11(2), and as applicable to the technology used in the aggregated generating facility, perform model revalidation testing no later than five (5) calendar years from the date of the most recently completed baseline testing or model revalidation testing.

Subsection 13, Model Validation and Reactive Power Verification Reporting of Section 502.16, states, in part:

- (1) The legal owner of an aggregated generating facility must submit all test results referred to in this section 502.16 to the ISO in the form of reports that meet the requirements specified by the ISO.
- (2) In addition to any other reporting requirements specified in this section 502.16, the legal owner of an aggregated generating facility must, unless otherwise specified in this section 502.16, submit a separate model validation and reactive power verification report in accordance with subsection 13(1) to the ISO no later than one hundred and eighty days (180) days after the date of completion of each of:
  - (d) the completion of any model revalidation testing other than that required in subsection 11(3)

The MSA is satisfied that the conduct was a contravention of section 502.16 of the ISO rules.

## **DELIVERY OF PAYMENT**

Payment can be made by electronic funds transfer (EFT). Please reach out to <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a> for instructions. When submitting the EFT, please send an email to <a href="mailto:AU.FinOps@gov.ab.ca">AU.FinOps@gov.ab.ca</a>, <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a> and <a href="mailto:enforcement@auc.ab.ca">enforcement@auc.ab.ca</a> noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a>.

## NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at <a href="mailto:compliance@albertamsa.ca">compliance@albertamsa.ca</a>.

## **APPROVED BY**

Mark Nesbitt, Director, Enforcement on May 6, 2025