

NOTICE OF SPECIFIED PENALTY

Date of Issue: April 15, 2025		Payment Due Date: May 15, 2025	
MSA File Number	2024-425	Specified Penalty Amount	\$250
Market Participant Name	Capital Power (G3) Limited Partnership		
Asset ID (if applicable)	GN3	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.3	Date of Contravention	October 5, 2024
Date of Referral/Self Report	November 15, 2024	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On October 5, 2024, at approximately 9:32, the GN3 asset was dispatched for 150 MW of energy, and activated from standby for 5 MW of spinning reserve, effective for 9:34. At approximately 9:39, the GN3 restated its standby spinning reserve offer for the hour endings 10 through 13 to 0 MW. Information available to the MSA indicates that the restatement was not submitted as soon as reasonably practicable. Section 205.3 of the ISO rules states, in part:

3(4) A pool participant that submits an offer must, as soon as reasonably practicable, submit a restatement to represent the operating state of the pool asset if:

(a) the pool asset is no longer able to deliver the MW set out in the offer; or

(b) the pool participant is not able to meet the requirements set out in Section 205.4 of the ISO rules, Regulating Reserve Technical Requirements and Performance Standards, Section 205.5 of the ISO rules, Spinning Reserve Technical Requirements and Performance Standards or Section 205.6 of the ISO rules, Supplemental Reserve Technical Requirements and Performance Standards, as applicable.

The MSA is satisfied that the conduct was a contravention of section 205.3 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on April 14, 2025