

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 12, 2025		Payment Due Date: April 14, 2025	
MSA File Number	2024-409	Specified Penalty Amount	\$250
Market Participant Name	TA Alberta Hydro LP		
Asset ID (if applicable)	BRA	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	505.3	Date of Contravention	May 14, 2024
Date of Referral/Self Report	November 8, 2024	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On June 13, 2024, TransAlta Alberta Hydro LP ('TAHLP') engaged in testing activities in relation to the BRA asset. TAHLP did not submit a final written testing plan to the ISO, nor did they obtain verbal authorization from the ISO to proceed with the testing.

Section 505.3 of the ISO rules states, in part:

3 The legal owner of a generating unit, energy storage resource, or aggregated facility must provide a final, written commissioning or testing plan to the ISO:

- (a) which the ISO approves as implementable without impacting the reliable operation of the interconnected electric system;
- (b) detailing the types of tests the legal owner proposes to conduct, including model and reactive power validation tests; and
- (c) a minimum of 30 days prior to commissioning or testing

[...]

6(1) The legal owner of a generating unit, energy storage resource, or aggregated facility must not begin its commissioning or testing activities until obtaining written confirmation from the ISO that the legal owner's final commissioning or testing plan, required under subsection 3, is approved.

(2) The legal owner of a generating unit, energy storage resource, or aggregated facility must, not less than one hour prior to the scheduled time of commissioning or testing, phone the ISO and obtain verbal authorization from the ISO to proceed.

(3) The legal owner of a generating unit, energy storage resource, or aggregated facility may, after receiving authorization to proceed with its commissioning or testing activities, commence the commissioning or testing activities.

The MSA is satisfied that the conduct was a contravention of section 505.3 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on March 12, 2025