

## NOTICE OF SPECIFIED PENALTY

Date of Issue: March 3, 2025		Payment Due Date: April 3, 2025	
MSA File Number	2024-326	<b>Specified Penalty Amount</b>	<b>\$250</b>
Market Participant Name	ENMAX Energy Marketing Inc.		
Asset ID (if applicable)	EEBC	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.6	Date of Contravention	August 13, 2024
Date of Referral/Self Report	September 12, 2024	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

For the hour ending 18 settlement interval on August 13, 2024, ENMAX Energy Marketing declared an import offer of 100 MW for the EEBC asset two hours prior to the start of the settlement interval. No approved e-tags were submitted for the interval and the trader did not restate the import until 16:59. Section 203.6 of the ISO rules states, in part:

6(1) Pool participants with any import or export interchange transactions who have acquired transmission service must submit e-tags to the ISO for the interchange transactions.

(2) The ISO must receive e-tags no later than twenty (20) minutes prior to the start of the settlement interval in order for the energy components of the interchange transactions to be included in an interchange schedule referenced in subsection 8.

[...]

(4) If:

- (a) the pool participant is unable to procure transmission service; or
- (b) there is any other change in the available capability for the sink asset or the source asset, as applicable; then the pool participant must submit, as applicable:
  - (i) an energy restatement in accordance with Section 203.3 of the ISO rules, Energy Restatements; or
  - (ii) an ancillary services restatement in accordance with Section 203.3 of the ISO rules, Energy Restatements.

The MSA is satisfied that the conduct was a contravention of section 203.6 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [AU.FinOps@gov.ab.ca](mailto:AU.FinOps@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on February 26, 2025

